
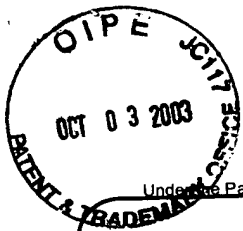


CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)		Docket No.	
Applicant(s): Max Lerman		26111-10281	
Serial No. 10/609,986	Filing Date September 30, 2003	Examiner Not Yet Assigned	Group Art Unit Not Yet Assigned
Invention: KNEE UNLOADING ORTHOTIC DEVICE AND METHOD			
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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

282

Application Number	10/609,986
Filing Date	June 30, 2003
First Named Inventor	Max Lerman
Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket Number	26111-10281

### ENCLOSURES (Check all that apply)

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| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/<br>Incomplete Application<br><input type="checkbox"/> Response to Missing Parts<br>under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a<br>Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance communication<br>to Technology Center (TC)<br><input type="checkbox"/> Appeal Communication to Board<br>of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC<br>(Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please<br>Identify below):<br>Information Disclosure Statement; IDS Citation Form<br>PTO-1449; Twenty-four References; Certificate of Mailing;<br>Return Receipt Postcard |
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Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Ryndak & Suri
Signature	
Date	September 29, 2003

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Typed or printed name	James D. Ryndak, Reg. No. 28,754	Date	09/29/2003
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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James D. Ryndak, Reg. No. 28,754

(Typed or printed name of person mailing paper or fee)

  
(Signature of person mailing paper or fee)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Max Lerman

Serial No.: 10/609,986

Filed: 06/30/2003

For: **KNEE UNLOADING ORTHOTIC DEVICE AND METHOD**

Group Art Unit: Not Yet Assigned  
Examiner: Not Yet Assigned

Attorney Docket No.: 26111-10281

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450


Sir:

Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed. Regarding the documents listed on the attached PTO-1449, Applicant believes the same may qualify as "prior" art to this application and should be treated

accordingly, although Applicant reserves the right to contest the prior art status of any document cited herein.

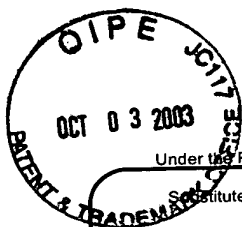
Pursuant to 37 C.F.R. 1.97(b), this Statement is being filed within three (3) months of the filing date of the application. No fee is required.

Respectfully submitted,

By:   
James D. Ryndak  
Reg. No. 28,754  
Attorney for Applicant

Dated: September 29, 2002

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30 N. LaSalle Street, Suite 2630  
Chicago, IL 60602  
(312) 214-7770



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/609,986
Filing Date	June 30, 2003
First Named Inventor	Max Lerman
Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket Number	26111-10281

Sheet 1 of 2

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 6,290,664 B1	09-18-2001	Nauert	
		US- 6,287,268 B1	09-11-2001	Gilmour	
		US- 6,206,933 B1	03-27-2001	Shorter et al.	
		US- 6,187,051 B1	02-13-2001	Gerad van de Veen	
		US- 6,159,248	12-12-2000	Gramnas	
		US- 6,066,110	05-23-2000	Nauert	
		US- 6,010,474	01-04-2000	Wycoki	
		US- 5,888,236	03-30-1999	van de Veen	
		US- 5,857,988	01-12-1999	Shirley	
		US- 5,807,294	09-15-1998	Cawley et al.	
		US- 5,728,172	03-17-1998	Krieger	
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		US- 5,613,941	03-25-1997	Prengler	
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		US- 5,405,408	04-11-1995	Pitkin	
		US- 5,400,806	03-28-1995	Taylor	
		US- 5,344,390	09-06-1994	Motloch	
		US- 5,302,169	04-12-1994	Taylor	
		US- 5,277,698	01-11-1994	Taylor	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> "Number" <sup>4</sup> "Kind Code" <sup>5</sup> (if known)				

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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[illegible][illegible]Date  
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